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PCT

EXPRESS MAIL LABEL NO. EL714917247US

ATTORNEY DOCKET NO.: D3299-00016

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Holzwarth et al.

Serial No.: 09/936,388
Filed: September 10, 2001

Examiner: Not Yet Assigned
Group Art Unit: Not Yet Assigned

For: **GENERATION OF STABILIZED, ULTRA-SHORT LIGHT PULSES AND THEIR
USE IN THE SYNTHESIS OF OPTICAL FREQUENCIES**

Assistant Commissioner for Patents
Box 2327
Arlington, VA 22202-3550

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Dear Sir:

Enclosed herewith is an Information Disclosure Statement pursuant to 37 CFR.
§ 1.56 in connection with the above-identified application, which statement is being
filed:

- ☐ Together with the present application.
- ☒ Before the first Office Action on the merits or three (3) months from the
filing date of this application, whichever occurs last. [37 CFR § 1.97(b)]
- ☐ After the first Office Action on the merits, but before a Final Office Action
under §1.113 or Notice of Allowance under §1.311, whichever occurs first.
[37 CFR § 1.97(c)]
- ☐ After a Final Office Action under §1.113 or Notice of Allowance under
§1.311, but prior to or with payment of the Issue Fee. [37 CFR § 1.97(d)]

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the
following requirements have been met:

- ☒ No separate requirements are needed.
- ☒ No additional fee is required.

☐ **Fee Under 37 CFR § 1.97(c)**

The fee of \$240.00 for submission of an IDS under § 1.97(c) as set forth in § 1.17(p) accompanies this statement.

☐ **Petition Under 37 CFR § 1.97(d)**

This is a petition requesting consideration of an IDS under § 1.97(d). The petition fee of \$130.00 set forth in § 1.17(i)(1) accompanies this petition.

☐ **Certification Under 37 CFR § 1.97(e)**

Each item of information contained in this statement was either

- (1) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; or
- (2) not known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement.

Provision of Copies of References

☒ A copy of each of the references listed on the attached Form PTO-1449 is enclosed herewith and forms a part hereof.

☐ A partial translation of Reference ____ is enclosed herewith and forms a part hereof.

☐ A copy of the European Search Report from a corresponding or related EPO application is enclosed herewith.

☐ A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith.

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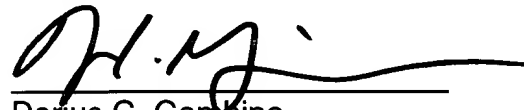
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Notification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted

- [] In accordance with § 198(d), copies of some or all of the referenced listed on the attached Form PTO-1449 (modified) are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in prior Application Serial No. _____, filed on _____; for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.
- [X] The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 04-1679. A duplicate copy of this transmittal is attached.

Respectfully submitted,

Date: 12.27.01



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